

2002 Rec'd PCT/PTO 03 APR 2002

FORM PTO-1390 (Modified)  
(Rev 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

4777-8 4777-9.

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

10/089880

INTERNATIONAL APPLICATION NO.  
**PCT/JP01/06674**

INTERNATIONAL FILING DATE  
**August 2, 2001**

PRIORITY DATE CLAIMED  
**August 4, 2000**

TITLE OF INVENTION

**EXPIRATION DATE MONITORING SYSTEM AND DEVICE THEREFOR**

APPLICANT(S) FOR DO/EO/US

**Tsuyoshi SAKATA, Kazuo OKAMURA, Noriyuki HIDAKA, Mikio MORIOKA**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 13 to 20 below concern document(s) or information included:**

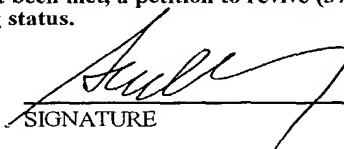
13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

40 sheets of drawings

10/0898880  
JC13 Rec'd PCT/PTO 03 APR 2002

U.S. APPLICATION NO (IF KNOWN, SEE 37 CFR 1.5) <b>10/0898880</b>	INTERNATIONAL APPLICATION NO <b>PCT/JP01/06674</b>	ATTORNEY'S DOCKET NUMBER <b>4777-8</b>
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21. The following fees are submitted: <b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$970.00</b> <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$840.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$690.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$670.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$96.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			<b>CALCULATIONS PTO USE ONLY</b>	
			<b>\$890.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).			<b>\$0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	42 - 20 =	22	x \$18.00	<b>\$396.00</b>
Independent claims	11 - 3 =	8	x \$84.00	<b>\$672.00</b>
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>=</b>	<b>\$1,958.00</b>
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
<b>SUBTOTAL</b>			<b>=</b>	<b>\$1,958.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			<b>+</b>	<b>\$0.00</b>
<b>TOTAL NATIONAL FEE</b>			<b>=</b>	<b>\$1,958.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
<b>TOTAL FEES ENCLOSED</b>			<b>=</b>	<b>\$1,958.00</b>
			<b>Amount to be:</b>	<b>\$</b>
			<b>refunded</b>	
			<b>charged</b>	<b>\$</b>

<input checked="" type="checkbox"/> A check in the amount of <b>\$1,958.00</b> to cover the above fees is enclosed.	
<input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.	
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <b>50-1145</b> A duplicate copy of this sheet is enclosed.	
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>	
SEND ALL CORRESPONDENCE TO:	
<b>Gerald Levy</b> <b>Reg. No. 24,419</b> <b>Pitney, Hardin, Kipp &amp; Szuch LLP</b> <b>685 Third Avenue</b> <b>New York, New York 10017</b>  <b>(212)297-5800</b>	 SIGNATURE <b>Gerald Levy</b> NAME <b>24,419</b> REGISTRATION NUMBER <b>April 3, 2002</b> DATE



10089880 030602

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10/089880

4777-9

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Tsuyoshi SAKATA et al.  
Serial No.: 10/089,880  
Art Unit: N/A  
For: EXPIRATION DATE MONITORING SYSTEM AND DEVICE THEREFOR  
Examiner: N/A

Pitney, Hardin, Kipp & Szuch LLP  
685 Third Avenue  
New York, New York 10017  
(212) 297-5800

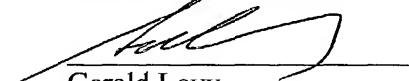
**CHANGE OF ATTORNEY DOCKET NUMBER**

Assistant Commissioner for Patents  
Washington, D. C. 20231

SIR:

Please note the attorney docket number for the above referenced application has been changed from 4777-8 to 4777-9. Please update your records accordingly.

Respectfully submitted,

  
Gerald Levy  
Reg. No. 24,419

July 30, 2002